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JC66 U.S. PTO

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PTO/SB/05 (4/98)

Approved for use through 09/30/2000. OMB 0651-0032

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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## UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 C.F.R. § 1.53(b))

Attorney Docket No. 583-1044

First Inventor or Application Identifier Christopher Tate

Title Communications System and Method Therefor

Express Mail Label No. EL 700 384 287 US

PRP

### APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1.  Fee Transmittal Form (e.g., PTO/SB/17)  
(Submit an original and a duplicate for fee processing)
2.  Specification [Total Pages 19]  
(preferred arrangement set forth below)
  - Descriptive title of the Invention
  - Cross References to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference to Microfiche Appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
3.  Drawing(s) (35 U.S.C. 113) [Total Sheets 3]
4. Oath or Declaration [Total Pages ]  
 a.  Newly executed (original or copy)  
 b.  Copy from a prior application (37 C.F.R. § 1.63(d))  
     (i.  DELETION OF INVENTOR(S)  
        Signed statement attached deleting inventor(s) named in the prior application, see 37 C.F.R. §§ 1.63(d)(2) and 1.33(b).)

ADDRESS TO: Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

5.  Microfiche Computer Program (Appendix)
6. Nucleotide and/or Amino Acid Sequence Submission  
(if applicable, all necessary)
  - a.  Computer Readable Copy
  - b.  Paper Copy (identical to computer copy)
  - c.  Statement verifying identity of above copies

### ACCOMPANYING APPLICATION PARTS

7.  Assignment Papers (cover sheet & document(s))
8.  37 C.F.R. § 3.73(b) Statement  Power of  
(when there is an assignee)  Attorney
9.  English Translation Document (if applicable)
10.  Information Disclosure Statement (IDS)/PTO-1449  Copies of IDS  
Citations
11.  Preliminary Amendment
12.  Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)
13.  \* Small Entity Statement(s)  Statement filed in prior application,  
(PTO/SB/09-12) Status still proper and desired
14.  Certified Copy of Priority Document(s)  
(if foreign priority is claimed)
15.  Other: .....

\*NOTE FOR ITEMS 1 & 13: IN ORDER TO BE ENTITLED TO PAY SMALL ENTITY FEES, A SMALL ENTITY STATEMENT IS REQUIRED (37 C.F.R. § 1.27), EXCEPT IF ONE FILED IN A PRIOR APPLICATION IS RELIED UPON (37 C.F.R. § 1.28).

16. If a CONTINUATING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment:

Continuation  Divisional  Continuation-in-part (CIP) of prior application No: /

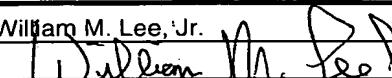
Prior application information: Examiner Group / Art Unit:

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

### 17. CORRESPONDENCE ADDRESS

Customer Number or Bar Code Label  
(Insert Customer No. or Attach bar code label here) or  Correspondence address below

Name	William M. Lee, Jr.		
	Lee, Mann, Smith, McWilliams, Sweeney & Ohlson		
Address	P.O. Box 2786		
City	Chicago	State	Illinois
Country	USA	Telephone	(312) 368-1300
Zip Code	60690-2786		
Fax	(312) 368-6620		

Name (Print/Type)	William M. Lee, Jr.	Registration No. (Attorney/Agent)	26,935
Signature		Date	12/14/00

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# FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT

\$1,040.00

## Complete if Known

Application Number	
Filing Date	
First Named Inventor	Christopher Tate
Examiner Name	
Group Art Unit	
Attorney Docket No.	583-1044

## METHOD OF PAYMENT

1.  The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number

Deposit Account Name

Charge Any Additional Fee Required  
Under 37 CFR §§ 1.16 and 1.17

Applicant claims small entity status.  
See 37 CFR § 1.27

2.  Payment Enclosed:

Check  Credit card  Money Order  Other

## FEE CALCULATION

## 1. BASIC FILING FEE

## Large Entity Small Entity

Fee Code (\$)	Fee Code (\$)	Fee Description	Fee Paid
101	710	201 355 Utility filing fee	<input type="text" value="710.00"/>
106	320	206 160 Design filing fee	<input type="text"/>
107	490	207 245 Plant filing fee	<input type="text"/>
108	710	208 355 Reissue filing fee	<input type="text"/>
114	150	214 75 Provisional filing fee	<input type="text"/>
SUBTOTAL (1)			<input type="text" value="\$710.00"/>

## 2. EXTRA CLAIM FEES

Extra Claims	Fee from below	Fee Paid
Total Claims <input type="text" value="25"/>	-20** = <input type="text" value="5"/>	X <input type="text" value="18.00"/> = <input type="text" value="90.00"/>
Independent Claims <input type="text" value="6"/>	- 3** = <input type="text" value="3"/>	X <input type="text" value="80.00"/> = <input type="text" value="240.00"/>
Multiple Dependent		<input type="text"/>

## Large Entity Small Entity

Fee Code (\$)	Fee Code (\$)	Fee Description
103	18	203 9 Claims in excess of 20
102	80	202 40 Independent claims in excess of 3
104	270	204 135 Multiple dependent claim, if not paid
109	80	209 40 ** Reissue independent claims over original patent
110	18	210 9 ** Reissue claims in excess of 20 and over original patent
SUBTOTAL (2)		<input type="text" value="\$330.00"/>

\*\*or number previously paid, if greater; For Reissues, see above

## 3. ADDITIONAL FEES

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
105	130	205 65 Surcharge - late filing fee or oath	<input type="text"/>
127	50	227 25 Surcharge - late provisional filing fee or cover sheet	<input type="text"/>
139	130	139 130 Non - English specification	<input type="text"/>
147	2,520	147 2,520 For filing a request for ex parte reexamination	<input type="text"/>
112	920*	112 920* Requesting publication of SIR prior to Examiner action	<input type="text"/>
113	1,840*	113 1,840* Requesting publication of SIR after Examiner action	<input type="text"/>
115	110	215 55 Extension for reply within first month	<input type="text"/>
116	390	216 195 Extension for reply within second month	<input type="text"/>
117	890	217 445 Extension for reply within third month	<input type="text"/>
118	1,390	218 695 Extension for reply within fourth month	<input type="text"/>
128	1,890	228 945 Extension for reply within fifth month	<input type="text"/>
119	310	219 155 Notice of Appeal	<input type="text"/>
120	310	220 155 Filing a brief in support of an appeal	<input type="text"/>
121	270	221 135 Request for oral hearing	<input type="text"/>
138	1,510	138 1,510 Petition to institute a public use proceeding	<input type="text"/>
140	110	240 55 Petition to revive - unavoidable	<input type="text"/>
141	1,240	241 620 Petition to revive - unintentional	<input type="text"/>
142	1,240	242 620 Utility issue fee (or reissue)	<input type="text"/>
143	440	243 220 Design issue fee	<input type="text"/>
144	600	244 300 Plant issue fee	<input type="text"/>
122	130	122 130 Petitions to the Commissioner	<input type="text"/>
123	50	123 50 Processing fee under 37 CFR § 1.17(q)	<input type="text"/>
126	180	126 180 Submission of Information Disclosure Statement	<input type="text"/>
581	40	581 40 Recording each patent assignment per property (times number of properties)	<input type="text"/>
146	710	246 355 Filing a submission after final rejection (37 CFR § 1.129(a))	<input type="text"/>
149	710	249 355 For each additional invention to be examined (37 CFR § 1.129(b))	<input type="text"/>
179	710	279 355 Request for Continued Examination (RCE)	<input type="text"/>
169	900	169 900 Request for expedited examination of a design application	<input type="text"/>
Other fee (specify) _____			<input type="text"/>

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3)

## SUBMITTED BY

Name (Print/Type)	William M. Lee, Jr.	Registration No. (Attorney/Agent)	26, 935	Telephone	(312) 368-1300
Signature			Date	December 14, 2000	

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Please check Mledge  
receipt of the  
enclosed:

Christopher Tate et al.

Serial No. Not yet assigned

Filed:

Title: Communications System and Method Therefor

1. Utility Patent Application Transmittal
2. Fee Transmittal (in duplicate)
3. 19 pages of specifications
4. 3 sheets of drawings
5. Check No. 42496 for \$ 1,040.00
6. Certificate of Express Mail
7. Return Postcard

DUE DATE (If Any): N/A

DATE SENT: December 14, 2000

"Express Mail" mailing label number

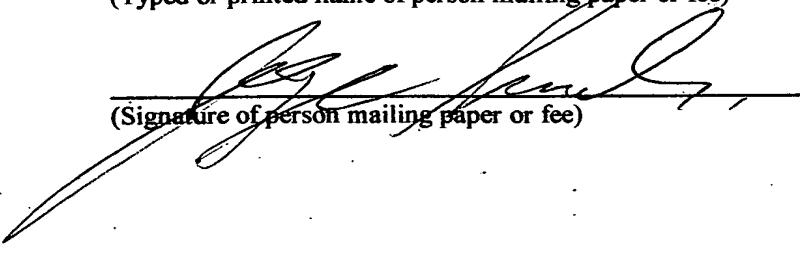
EL 700 384 287 US

Date of deposit: December 14, 2000

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Jorge Galvan

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